



# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

**NOTE:** The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

**Date of Report** (top-tier holding company's fiscal year-end):

**December 31, 2014**

Month / Day / Year

N/A

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

**I, Carlos A. Safie**

Name of the Holding Company Director and Official

**Chairman, President, CEO**

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

Reporter's Name, Street, and Mailing Address

**Executive Banking Corporation**

Legal Title of Holding Company

**9600 North Kendall Drive**

(Mailing Address of the Holding Company) Street / P.O. Box

**Miami**

**FL**

**33176**

City

State

Zip Code

N/A

Physical Location (if different from mailing address)

*With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.*

Person to whom questions about this report should be directed:

**David P. Johnson**

**Secretary**

Name

Title

**305-964-2442**

Area Code / Phone Number / Extension

**305-232-8719**

Area Code / FAX Number

**djohnson@executivebank.com**

E-mail Address

N/A

Address (URL) for the Holding Company's web page

Signature of Holding Company Director and Official

**01/30/2015**

Date of Signature

**For holding companies not registered with the SEC—**

Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

**For Federal Reserve Bank Use Only**

RSSD ID **1082731**  
C.I. \_\_\_\_\_

Does the reporter request confidential treatment for any portion of this submission?

- Yes Please identify the report items to which this request applies:
  - In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.
  - The information for which confidential treatment is sought is being submitted separately labeled "Confidential."
- No

## For Use By Tiered Holding Companies

*Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.*

Legal Title of Subsidiary Holding Company

(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

City State Zip Code

Physical Location (if different from mailing address)

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FORM FR Y-6

EXECUTIVE BANKING CORPORATION  
MIAMI, FLORIDA  
STATE OF INCORPORATION: FLORIDA  
FISCAL YEAR ENDING DECEMBER 31, 2014

REPORT ITEM

1: STATUS OF ANNUAL REPORT : NOT PREPARED.

2: Organization Chart

EXECUTIVE BANKING CORPORATION  
INCORPORATED IN FLORIDA

100% OWNERSHIP OF

EXECUTIVE NATIONAL BANK  
INCORPORATED IN MIAMI, FLORIDA

Results: A list of branches for your depository institution: EXECUTIVE NATIONAL BANK (ID\_RSSD: 1002430).

This depository institution is held by EXECUTIVE BANKING CORPORATION (1082731) of MIAMI, FL.

The data are as of 12/31/2014. Data reflects information that was received and processed through 01/07/2015.

**Reconciliation and Verification Steps**

1. In the Data Action column of each branch row, enter one or more of the actions specified below.
2. If required, enter the date in the Effective Date column.

**Actions**

OK: If the branch information is correct, enter 'OK' in the Data Action column.

Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.

Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.

Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.

Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

**Note:**

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.

The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
OK		Full Service (Head Office)	1002430	EXECUTIVE NATIONAL BANK	9600 NORTH KENDALL DRIVE	MIAMI	FL	33176	MIAMI-DADE	UNITED STATES	14302	0	EXECUTIVE NATIONAL BANK	1002430	
OK		Full Service	4053068	AVENTURA BRANCH	20900 NE 30TH AVENUE	MIAMI	FL	33180	MIAMI-DADE	UNITED STATES	476639	6	EXECUTIVE NATIONAL BANK	1002430	
OK		Full Service	2507727	SOUTH MIAMI BANKING CENTER	6193 SUNSET DRIVE	MIAMI	FL	33143	MIAMI-DADE	UNITED STATES	251589	4	EXECUTIVE NATIONAL BANK	1002430	
OK		Full Service	1167245	TAMIAMI BRANCH	13354 SW 128TH STREET	MIAMI	FL	33186	MIAMI-DADE	UNITED STATES	251587	2	EXECUTIVE NATIONAL BANK	1002430	

OK  
✓ SPR.

FORM FR Y-6  
 EXECUTIVE BANKING CORPORATION  
 FISCAL YEAR ENDING DECEMBER 31, 2014

**Report Item 3: Securities Holders**

(1)(A)	(1)(B)	(1)(C)	(2)(A)	(2)(B)	(2)(C)
<u>NAME, CITY, STATE, COUNTRY</u>	<u>COUNTRY OF CITIZENSHIP OR INCORPORATION</u>	<u>NUMBER/PERCENTAGE OF EACH CLASS OF VOTING SECURITIES</u>	<u>NAME, CITY, STATE, COUNTRY</u>	<u>COUNTRY OF CITIZENSHIP OR INCORPORATION</u>	<u>NUMBER/PERCENTAGE OF EACH CLASS OF VOTING SECURITIES</u>
CARLOS A. SAFIE MIAMI, FLORIDA	USA	2,002-49.8257840% COMMON STOCK 1,357-100% -PREFERRED STOCK-AS SUCCESSOR TRUSTEE	NONE	NONE	NONE
ALEJANDRO M. SAFIE MIAMI, FLORIDA	EL SALVADOR	2,000-49.7760080% COMMON STOCK			

FORM FR Y-6  
EXECUTIVE BANKING CORPORATION  
FISCAL YEAR ENDING DECEMBER 31, 2014

Report item 4: Insiders

(1) NAME & ADDRESS	(2) PRIN. OCCUPATION IF OTHER THAN BHC	(3) (A) TITLE/POSITION WITH BHC	(3) (B) TITLE/POSITION WITH SUBSIDIARY	(3) (C) TITLE/POSITION WITH OTHER BUSINESSES	(4) (A) % OF VOTING SHARES IN BHC	(4) (B) % OF VOTING SHARES IN SUBSIDIARIES
CARLOS A. SAFIE-SUCCESSOR TRUSTEE -PREFERRED STOCK MIAMI, FLORIDA, USA	N/A	CHAIRMAN PRESIDENT/CEO	CHAIRMAN/CEO EXECUTIVE NATL. BANK	VICE PRESIDENT/ PRO KNIT INC.	49.8257840%-C.STOCK 100% SUCCESSOR TRUSTEE PREFERRED STOCK	N/A
DAVID JOHNSON MIAMI, FLORIDA, USA	N/A	SECRETARY	EXECUTIVE EVP/CASHIER EXECUTIVE NATL. BANK	NONE	0	N/A
ELIAS N. EDE MIAMI, FLORIDA, USA	PRESIDENT	DIRECTOR	DIRECTOR EXECUTIVE NATL. BANK	INTERNATIONAL PRESIDENT EDE MEDICAL CORP.	.0497760%-C.STOCK	N/A
ALEJANDRO M. SAFIE MIAMI, FLORIDA, USA	BANK OFFICER BANK	SHAREHOLDER	NONE	BANK OFFICER INTERNATIONAL FINANCE BANK	49.7760080%-C.STOCK	N/A
MARIA C. MELÉNDEZ-ENRIQUÉZ MIAMI, FLORIDA, USA	INVESTOR	DIRECTOR	DIRECTOR EXECUTIVE NATL. BANK	EXECUTIVE V.P. AMERICAN GOVERNMENT CERTIFICATES/ AND BIG C. PROPERTIES	.0497760%-C.STOCK	N/A
ROBERTO ARGUELLO MIAMI, FLORIDA, USA	PRESIDENT	DIRECTOR	DIRECTOR EXECUTIVE NATL. BANK	PRES-CEO ADVISORS, LLC. PRES-MILITARY CHESS.COM	.0497760%-C.STOCK	N/A
GEORGE BEFELER MIAMI, FLORIDA, USA	ATTORNEY	DIRECTOR	DIRECTOR EXECUTIVE NATL. BANK	RES.-GEORGE BEFELER P./	.0497760%-C.STOCK	N/A
LISETTE SAFIE MIAMI, FLORIDA, USA	N/A	DIRECTOR	DIRECTOR EXECUTIVE NATL. BANK	NONE	.0497760%-C.STOCK	N/A
GUILLERMO FERNÁNDEZ QUINGOCÉS MIAMI, FLORIDA, USA	ATTORNEY	DIRECTOR	DIRECTOR EXECUTIVE NATL. BANK	SHAREHOLDER BUCHANAN INGERSOLD PC	.0497760%-C.STOCK	N/A