

Board of Governors of the Federal Reserve System



Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

I, Paul Reilly

Name of the Holding Company Director and Official

Director/ Chief Executive Officer

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Official

12/18/2015

Date of Signature

For holding companies not registered with the SEC—
Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

For Federal Reserve Bank Use Only

RSSD ID
C.I.

3915157

Date of Report (top-tier holding company's fiscal year-end):

September 30, 2015

Month / Day / Year

RGUZHJ05YTITL6D76949

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

Raymond James Financial, Inc.

Legal Title of Holding Company

880 Carillon Parkway

(Mailing Address of the Holding Company) Street / P.O. Box

St. Petersburg FL 33716

City State Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Douglas Krueger

Name Title

727-567-4329

Area Code / Phone Number / Extension

727-567-8365

Area Code / FAX Number

doug.krueger@raymondjames.com

E-mail Address

www.raymondjames.com

Address (URL) for the Holding Company's web page

Does the reporter request confidential treatment for any portion of this submission?

Yes Please identify the report items to which this request applies:

Item 4: Insiders - Column 4b

In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.

The information for which confidential treatment is sought is being submitted separately labeled "Confidential."

No

Raymond James Financial, Inc.

Y-6 Summary of Attached Exhibits

Period Ended September 30, 2015

1a) FOIA Confidential treatment request for the item 4: Insiders schedule

1) Annual report – N/A. Raymond James Financial, Inc. is registered with the SEC.

2a) Organizational chart – attached

2b) Domestic branch listing – attached

3) Securities holders – attached

4) Insiders – attached.

Organization Chart for:
 RAYMOND JAMES FINANCIAL, INC. (SAINT PETERSBURG, FL) - ID_RSSD 3815157
 9/30/2015

ID_RSSD	Legal/Popular Name	Owner Company	Ownership %	Partner/Member Interest	City	State	Country Name	State/Country Inc
3815157	RAYMOND JAMES FINANCIAL, INC.		-		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4388247	BALLAST POINT VENTURE PARTNERS II, LLC	Raymond James Financial, Inc.	12.00	LP	ST. PETERSBURG	FL	UNITED STATES	DELAWARE
4388313	BALLAST POINT VENTURE PARTNERS, LP	Raymond James Financial, Inc.	31.00	LP	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4423483	BALLAST POINT VENTURES EF II, LP	Ballast Point Venture Partners II, LLC	1.00	GP	ST. PETERSBURG	FL	UNITED STATES	DELAWARE
4423492	BALLAST POINT VENTURES EF, LP	Ballast Point Venture Partners, LP	1.00	GP	ST. PETERSBURG	FL	UNITED STATES	DELAWARE
4388256	BALLAST POINT VENTURES II, L.P.	Raymond James Investments, LLC	21.00	LP	ST. PETERSBURG	FL	UNITED STATES	DELAWARE
4388322	BALLAST POINT VENTURES, L.P.	Raymond James Investments, LLC	14.00	LP	ST. PETERSBURG	FL	UNITED STATES	DELAWARE
3594500	CLARIVEST ASSET MANAGEMENT, LLC	Eagle Asset Management, Inc.	45.00	Managing Member	SAN DIEGO	CA	UNITED STATES	DELAWARE
4865298	COUGAR GLOBAL ETF PORTFOLIO MANAGEMENT, INC.	Cougar Global Investments Limited	100.00		SAINT PETERSBURG	FL	CANADA	ONTARIO
4865207	COUGAR GLOBAL INVESTMENTS LIMITED	Raymond James International Canada, Inc.	100.00		TORONTO	ONTARIO	CANADA	ONTARIO
4314095	EAGLE ASSET MANAGEMENT, INC	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4314198	EAGLE BOSTON INVESTMENT MANAGEMENT, INC.	Eagle Asset Management, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4314134	EAGLE FUND DISTRIBUTORS, INC.	Eagle Asset Management, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4314040	EAGLE FUND SERVICES, INC	Eagle Asset Management, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4388229	EAGLE GROWTH PARTNERS I, L.P.	EB Management I, LLC	1.00	GP	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4388210	EAGLE GROWTH PARTNERS II, L.P.	EB Management I, LLC	1.00	GP	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314143	EB MANAGEMENT I, LLC	Eagle Asset Management, Inc.	51.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4387941	EVENT PHOTOGRAPHY GROUP HOLDING COMPANY, INC.	RJC Event Photos, LLC	40.00		ST. PETERSBURG	FL	UNITED STATES	DELAWARE
3022209	FORMER WT, INC.	MK Holding, Inc.	100.00		MEMPHIS	TN	UNITED STATES	TENNESSEE
4387987	HBI INVESTMENT FUNDS, L.L.C.	Howe Barnes Hoefler & Arnett, Inc.	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	ILLINOIS
4388199	HBI PRIVATE EQUITY FUND I, L.P.	HBI Investment Funds LLC	11.00	GP	SAINT PETERSBURG	FL	UNITED STATES	ILLINOIS
4316754	HERITAGE INTERNATIONAL LTD.	Raymond James International Holdings, Inc.	100.00		PORT LOUIS		MAURITIUS	
4387978	HOWE BARNES HOFFER & ARNETT, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4387932	LAW ENFORCEMENT ASSOCIATES CORPORATION	Sirchie Acquisition Company, LLC	51.00		CARSON CITY	NV	UNITED STATES	NEVADA
4411592	MERCHANT BANKERS ASSOCIATES, LP	Merchant Bankers, Inc.	100.00	GP	MEMPHIS	TN	UNITED STATES	TENNESSEE
3022030	MERCHANT BANKERS, INC.	MK Holding, Inc.	100.00		MEMPHIS	TN	UNITED STATES	TENNESSEE
3022021	MK HOLDING, INC.	Raymond James Financial, Inc.	100.00		MEMPHIS	TN	UNITED STATES	ALABAMA
3022094	MK INVESTMENT MANAGEMENT, INC.	MK Holding, Inc.	100.00		MEMPHIS	TN	UNITED STATES	DELAWARE
3731145	MK LOUISIANA CHARITABLE HEALTHCARE FACILITIES FUND, LLC	Morgan Keegan & Company, LLC / Morgan Properties, LLC	100.00	GP/LP	NEW ORLEANS	LA	UNITED STATES	DELAWARE
3793264	MK MEZZANINE MANAGEMENT, LLC	Morgan Properties, LLC	61.00	GP	MEMPHIS	TN	UNITED STATES	DELAWARE
4648884	MOR ASSOCIATES, LP	Merchant Bankers, Inc.	1.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	TENNESSEE
4533104	MORGAN KEEGAN & ASSOCIATES, LLC	MK Holding, Inc.	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
1599239	MORGAN KEEGAN & COMPANY, LLC	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	TENNESSEE
3939606	RAYMOND JAMES CAPITAL SERVICES, LLC	MK Holding, Inc.	100.00	Managing Member	MEMPHIS	TN	UNITED STATES	DELAWARE
4424051	MORGAN KEEGAN EMPLOYEE INVESTMENT FUND, LP	Merchant Bankers, Inc.	1.00	GP	MEMPHIS	TN	UNITED STATES	DELAWARE
3799015	MORGAN KEEGAN FINANCIA. SERVICES, LLC	MK Holding, Inc.	100.00	Managing Member	MEMPHIS	TN	UNITED STATES	DELAWARE
3022058	MORGAN KEEGAN FUND MANAGEMENT, INC.	MK Holding, Inc.	100.00		MEMPHIS	TN	UNITED STATES	TENNESSEE
4424042	MORGAN KEEGAN INVESTMENT PARTNERS FUND, LP	MK Investment Management, Inc.	23.00	GP	MEMPHIS	TN	UNITED STATES	DELAWARE
4424060	MORGAN KEEGAN MEZZANINE FUND, LP	MK Mezzanine Management, LLC	20.00		MEMPHIS	TN	UNITED STATES	DELAWARE
4424024	MORGAN KEEGAN PRIVATE EQUITY EMPLOYEE FUND OF FUNDS II, LP	MK Investment Management, Inc. / Morgan Properties, LLC	49.00	GP/LP	MEMPHIS	TN	UNITED STATES	DELAWARE
4576334	MORGAN KEEGAN PRIVATE EQUITY FUND OF FUNDS II BLOCKER, LLC	MK Investment Management, Inc.	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4576343	MORGAN KEEGAN PRIVATE EQUITY FUND OF FUNDS II HOLDINGS, LP	MK Investment Management, Inc.	1.00	GP	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4424015	MORGAN KEEGAN PRIVATE EQUITY FUND OF FUNDS II, LP	MK Investment Management, Inc. / Morgan Properties, LLC	48.00	GP/LP	MEMPHIS	TN	UNITED STATES	DELAWARE
4424033	MORGAN KEEGAN PRIVATE EQUITY QP FUND OF FUNDS II, LP	MK Investment Management, Inc. / Morgan Properties, LLC	48.00	GP/LP	MEMPHIS	TN	UNITED STATES	DELAWARE
3022085	MORGAN PROPERTIES, LLC	Raymond James Investments, LLC	100.00	Managing Member	MEMPHIS	TN	UNITED STATES	TENNESSEE
4388603	PCA INSURANCE AGENCY, INC. (PUERTO RICO)	Raymond James Insurance Group, Inc.	100.00		ST. PETERSBURG	FL	UNITED STATES	PUERTO RICO
4388685	PLANNING CORPORATION OF AMERICA (NEVADA)	Raymond James Insurance Group, Inc.	100.00		ST. PETERSBURG	FL	UNITED STATES	NEVADA
4388694	PLANNING CORPORATION OF AMERICA (NEW YORK)	Raymond James Insurance Group, Inc.	100.00		ST. PETERSBURG	FL	UNITED STATES	NEW YORK

Organization Chart for:
 RAYMOND JAMES FINANCIAL, INC. (SAINT PETERSBURG, FL) - ID_RSSD 3815157
 9/30/2015

ID_RSSD	Legal/Popular Name	Owner Company	Ownership %	Partner/Member Interest	City	State	Country Name	State/Country Inc
4424006	PREFERRED FUND OF FUNDS, LLC	Morgan Keegan Fund Management, Inc.	9.00	Managing Member	MEMPHIS	TN	UNITED STATES	DELAWARE
1622043	RAYMOND JAMES & ASSOCIATES, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4315001	RAYMOND JAMES (USA) LTD.	Raymond James Ltd.	100.00		VANCOUVER		CANADA	CANADA
4316950	RAYMOND JAMES ARGENTINA SOCIEDAD DE BOLSA, S.A.	Raymond James South American Holdings, Inc.	83.00		BUENOS AIRES		ARGENTINA	ARGENTINA
4316866	RAYMOND JAMES ASSET MANAGEMENT INTERNATIONAL, S.A.	Raymond James International Holdings, Inc.	56.00		PARIS		FRANCE (OTHER)	FRANCE (OTHER)
2199616	RAYMOND JAMES BANK, NATIONAL ASSOCIATION	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	USA
4316428	RAYMOND JAMES CANADA, LLC	Raymond James Financial, Inc.	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4392806	RAYMOND JAMES CANADIAN ACQUISITION, INC.	Raymond James Bank, National Association	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4393214	RAYMOND JAMES CANADIAN HOLDINGS, LLC	Raymond James Canadian Acquisition, Inc.	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4652658	RAYMOND JAMES CAPITAL FUNDING, INC.	Raymond James Bank, National Association	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4314853	RAYMOND JAMES CAPITAL PARTNERS LP	Investments, LLC / RJC Partners, LP / RJEIF, Inc.	22.00	LP/GP	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314246	RAYMOND JAMES CAPITAL, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4388331	RAYMOND JAMES EUROPEAN EQUITIES S.A.S.	RAYMOND JAMES EUROPEAN SECURITIES, S.A.S.	100.00		PARIS		FRANCE (OTHER)	FRANCE (OTHER)
4316772	RAYMOND JAMES EUROPEAN HOLDINGS, INC.	Raymond James International Holdings, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4388359	RAYMOND JAMES EUROPEAN SECURITIES, S.A.S.	Raymond James International Holdings, Inc.	100.00		PARIS		FRANCE (OTHER)	FRANCE (OTHER)
4353759	RAYMOND JAMES FINANCE COMPANY OF CANADA LTD.	Raymond James Canadian Holdings, LLC	100.00		TORONTO		CANADA	CANADA
4316781	RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED	Raymond James International Holdings, Inc.	100.00		LONDON		UNITED KINGDOM (OTHER)	UNITED KINGDOM (OTHER)
4315038	RAYMOND JAMES FINANCIAL MANAGEMENT LTD.	Raymond James Ltd.	100.00		VANCOUVER		CANADA	CANADA
4315047	RAYMOND JAMES FINANCIAL PLANNING LTD.	Raymond James Ltd.	100.00		VANCOUVER		CANADA	CANADA
3366855	RAYMOND JAMES FINANCIAL PRODUCTS, INC.	MK Holding, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	TENNESSEE
4314031	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4314880	RAYMOND JAMES FINANCIAL SERVICES, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4316893	RAYMOND JAMES GLOBAL SECURITIES, LIMITED	Raymond James International Holdings, Inc.	100.00		TORTOLA		BRITISH VIRGIN ISLANDS	BRITISH VIRGIN ISLANDS
4648491	RAYMOND JAMES HOLDINGS, LTD.	Residual Partners/ RJ Partners, Inc.	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4315065	RAYMOND JAMES INSURANCE GROUP, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4835022	RAYMOND JAMES INTERNATIONAL CANADA, INC.	Raymond James International Holdings, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4316736	RAYMOND JAMES INTERNATIONAL HOLDINGS, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4315270	RAYMOND JAMES INVESTMENT SERVICES LIMITED	Raymond James Financial, Inc.	100.00		LONDON		ENGLAND	ENGLAND
4314200	RAYMOND JAMES INVESTMENTS, LLC	Raymond James Financial, Inc.	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4316923	RAYMOND JAMES LATIN ADVISORS LTD.	Raymond James Global Securities, Limited	83.00		TORTOLA		BRITISH VIRGIN ISLANDS	BRITISH VIRGIN ISLANDS
4316932	RAYMOND JAMES LATIN AMERICA S.A.	Raymond James South American Holdings, Inc.	100.00		MONTEVIDEO		URUGUAY	URUGUAY
4316969	RAYMOND JAMES LATIN FUND ADVISORS S.A.	Raymond James South American Holdings, Inc.	51.00		MONTEVIDEO		URUGUAY	URUGUAY
4314992	RAYMOND JAMES LTD.	Raymond James Canada, LLC	100.00		VANCOUVER		CANADA	CANADA
4566193	RAYMOND JAMES MANAGEMENT-EPG, LLC	Raymond James Investments, LLC	-	Managing member	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4566184	RAYMOND JAMES MANAGEMENT-FORENSICS, LLC	Raymond James Investments, LLC	-	Managing member	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
3022106	RAYMOND JAMES MORTGAGE COMPANY, INC.	MK Holding, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	TENNESSEE
3022124	RAYMOND JAMES MUNICIPAL PRODUCTS, INC.	MK Holding, Inc.	100.00		MEMPHIS	TN	UNITED STATES	DELAWARE
4317612	RAYMOND JAMES RESEARCH SERVICES, LLC	Raymond James Financial, Inc.	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4316790	RAYMOND JAMES SECURITIES - TURKEY (RAYMOND JAMES VATIRYIM MENKUL KYMATLAR A.S.)	Raymond James European Holdings, Inc.	73.00		ISTANBUL		TURKEY	TURKEY
4316848	RAYMOND JAMES SOUTH AMERICAN HOLDINGS, INC.	Raymond James International Holdings, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
3022133	RAYMOND JAMES STRUCTURED PRODUCTS, INC.	MK Holding, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
2163477	RAYMOND JAMES TRUST, NATIONAL ASSOCIATION	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	USA
4316941	RAYMOND JAMES URUGUAY S.A.	Raymond James Global Securities, Limited	83.00		MONTEVIDEO		URUGUAY	URUGUAY
4317573	RESIDUAL PARTNERS	Raymond James Financial, Inc.	100.00	GP	SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4317694	RJ CAPITAL SERVICES, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4316884	RJ DELTA ASSET MANAGEMENT, S.A.	Raymond James South American Holdings, Inc.	51.00		BUENOS AIRES		ARGENTINA	ARGENTINA
4316978	RJ DELTA CAPITAL, S.A.	Raymond James South American Holdings, Inc.	51.00		BUENOS AIRES		ARGENTINA	ARGENTINA
4317546	RJ EQUITIES, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4317564	RJ GOVERNMENT SECURITIES, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4317603	RJ PARTNERS, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA

Organization Chart for:
 RAYMOND JAMES FINANCIAL, INC. (SAINT PETERSBURG, FL) - ID_RSSD 3815157
 9/30/2015

ID_RSSD	Legal/Popular Name	Owner Company	Ownership %	Partner/Member Interest	City	State	Country Name	State/Country Inc
4388238	RJ SECURITIES, INC.	Raymond James Investments, LLC	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA
4317685	RJA STRUCTURED FINANCE, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314264	RJC EVENT PHOTOS, LLC	Raymond James Investments, LLC	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314424	RJC FORENSICS, LLC	Raymond James Investments, LLC	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314835	RJC PARTNERS, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314844	RJC PARTNERS, LP	Raymond James Financial, Inc. / RJC Partners, Inc.	50.00	LP/GP	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314228	RAYMOND JAMES EMPLOYEE INVESTMENT FUND I, L.P.	RJEIF, Inc.	2.00	GP	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314237	RAYMOND JAMES EMPLOYEE INVMENT FUND II, L.P.	RJEIF, Inc.	2.00	GP	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314219	RJEIF, INC.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4313995	RJF CAPITAL TRUST I	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314004	RJF CAPITAL TRUST II	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4314013	RJF CAPITAL TRUST III	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4388292	SALON LOFTS GROUP, LLC	SLG Partners, LP	20.00		ST. PETERSBURG	FL	UNITED STATES	DELAWARE
4387914	SIRCHIE ACQUISITION COMPANY, LLC	RJC Forensics, LLC	99.00	Non-managing Member	YOUNGSVILLE	NC	UNITED STATES	DELAWARE
4388274	SLG PARTNERS GP, LLC	Management, LLC	100.00	Managing Member	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4388283	SLG PARTNERS, L.P.	Raymond James Investments, LLC/ SLG Partners GP, LLC	30.00	LP/GP	ST. PETERSBURG	FL	UNITED STATES	DELAWARE
4903837	SLG Partners, L.P. II	SLG Partners GP, LLC	30.00	General Partner/Managing Member	SAINT PETERSBURG	FL	UNITED STATES	DELAWARE
4388854	TAJ PERFORMANCE FUND, (MAURITIUS) LIMITED	Heritage International, Ltd.	33.00	LP	PORT LOUIS		MAURITIUS	MAURITIUS
4890281	The Producers Choice, LLC	TPC Acquisition Co.	100.00	General Partner/Managing Member	SAINT PETERSBURG	FL	UNITED STATES	MICHIGAN
4851200	TPC Acquisition Co.	Raymond James Financial, Inc.	100.00		SAINT PETERSBURG	FL	UNITED STATES	FLORIDA

Additional companies reportable on FR Y-6 (not reportable on FR Y-10):

Legal Name & physical address	Owner Company
Capco Holdings, Inc. Burlington, VT	Raymond James Financial, Inc
S & B Properties Ltd II Valdosta, GA	Raymond James Financial, Inc
Riverdale Office Properties Partnership Little Rock, AR	Morgan Properties, LLC.
Brookwood, LLC Birmingham, AL	MK Holding, Inc.

Board of Governors of the Federal Reserve System

FR Y-6 Depository Institution Branch Data Verification



Branches for Selected Institution

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A list of branches for your depository institution: RAYMOND JAMES BANK, NATIONAL ASSOCIATION (ID_RSSD: 2193616). This depository institution is held by RAYMOND JAMES FINANCIAL, INC. (3815157) of SAINT PETERSBURG, FL. The data are as of 09/30/2015.

Save a copy of this list by clicking the download button below. **When asked if you would like to open or save this file, choose the 'Save' button.** Make corrections to your saved copy and then send it to your FRB contact. See the detailed instructions (linked above) for more information.

[Download List to Excel](#)

Institutions: 1 to 1 of 1

Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*
Full Service (Head Office)	2193616	RAYMOND JAMES BANK, NATIONAL ASSOCIATION	710 CARILLON PARKWAY	SAINT PETERSBURG	FL	33716	PINELLAS	UNITED STATES	54692	0	RAYMOND JAMES BANK, NATIONAL ASSOCIATION	2193616

*FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.

FORM FR Y-6
Raymond James Financial, Inc.
Fiscal Year Ending September 30, 2015

Report Item 3: Securities holders

Current securities holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 9/30/2015

Securities holders not listed in 3(1)(a) through 3(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 9/30/2015

(1)(a)	(1)(b)	(1)(c)	(2)(a)	(2)(b)	(2)(c)
Name, City, State, Country	Country of Citizenship or Incorporation	Number and Percentage of Each Class of Voting Securities	Name, City, State, Country	Country of Citizenship or Incorporation	Number and Percentage of Each Class of Voting Securities
Thomas A. James St Petersburg, FL, USA	USA	14,887,837 - 10.41% Common Stock	None		
		19,313 - 0.01% RSUs on Common Stock			
The Vanguard Group, Inc. Malvern, PA, USA	USA	8,750,125 - 6.12% - Common Stock			
BlackRock, Inc. New York, NY, USA	USA	8,328,183 - 5.82% - Common Stock			

FORM FR Y-6
Raymond James Financial, Inc.
Fiscal Year Ending September 30, 2015

PUBLIC

Report Item 4: Insiders

(1) Name, City, State, Country	(2) Principal Occupation if other than with Bank Holding Company	(3)(a) Title & Position with Bank Holding Company	(3)(b) Title & Position with Subsidiaries (include names of subsidiaries)	(3)(c) Title & Position with Other Businesses (include names of other businesses)	(4)(a) Percentage of Voting Shares in Bank Holding Company	(4)(b) Percentage of Voting Shares in Subsidiaries (include names of subsidiaries)	(4)(c) List names of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and percentage of voting securities held)
1 Thomas A. James St. Petersburg, FL, USA	N/A	Director, Executive Chairman	Director and Chairman - Eagle Asset Management, Inc., Director and Chairman - Raymond James & Associates, Inc., Director and Chairman - Raymond James Capital, Inc., Director and Vice President - RJ Partners, Inc., Director - RJC Partners, Inc., Director - RJEIF, Inc.	Director - Cora Health Services, Inc., President of Board of Trustees - The Salvador Dali Museum, Chairman - Chi Chi Rodriguez Youth Foundation, Director - International Tennis Hall of Fame.	10.4182%	CONFIDENTIAL	N/A
2 Charles G. von Arentschildt Paradise Valley, AZ USA	Financial Services Industry - Retired Executive	Director	N/A	N/A	0.0008%	CONFIDENTIAL	N/A
3 Shelley G. Broader Oakville, ON, Canada	Retail - Walmart EMEA Region	Director	N/A	President and CEO - Walmart EMEA Region (Europe, Middle East, Africa and Canada), Director - Massmart Holdings Limited	0.0113%	CONFIDENTIAL	N/A
4 Jeffrey N. Edwards Far Hills, NJ USA	Finance - New Vernon Capital	Director	N/A	Partner & COO - New Vernon Capital, Director - Medusind, Inc.	0.0043%	CONFIDENTIAL	N/A

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5 Benjamin C. Esty Lexington, MA USA	Academics - Professor	Director	N/A	The Roy and Elizabeth Simmons Professor of Business Administration - Harvard Graduate School of Business Administration	0.0032%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
6 Francis S. Godbold St. Petersburg, FL, USA	N/A	Director, Vice Chairman	Director - Raymond James Bank, NA, Director and President - RJ Partners, Inc., Director, Chairman and President - RJEIF, Inc.	Trustee Emeritus - Georgia Tech Foundation, Inc.	0.1268%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
7 Gordon L. Johnson St. Petersburg, FL, USA	Transportation - Highway Safety Devices, Inc.	Director	Director - Raymond James Bank, NA	President - Highway Safety Devices, Inc., Director - Santa Fe Healthcare, Director - Florida Transportation Builders Association	0.0083%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
8 Paul C. Reilly St. Petersburg, FL, USA	N/A	Director, Chief Executive Officer	Regular Trustee - RJF Capital Trust I, Regular Trustee - RJF Capital Trust II, Regular Trustee - RJF Capital Trust III	Director - SIFMA Director - United Way Suncoast	0.2241%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
9 Robert P. Saltzman Scottsdale, AZ, USA	Insurance - Retired Executive - Jackson National Life Insurance Company	Director	N/A	Life Trustee - Northwestern University	0.0131%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A

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10 Hardwick Simmons Marion, MA, USA	Securities Industry - Retired Executive	Director	N/A	Director - Lions Gate Entertainment Corp., Director, President - Stonetex Oil Company, Director - Invivoscribe Technologies, Inc.	0.0406%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
11 Susan N. Story Gulf Breeze, FL, USA	Utilities - American Water Works Company, Inc.	Director	N/A	Director, President and CEO - American Water Works Company, Inc., Director - Board of Advisors - H. Lee Moffitt Cancer Center & Research Institute	0.0131%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
12 Bella Loykhter Allaire St. Petersburg, FL, USA	N/A	N/A	EVP - Technology and Operations - Raymond James & Associates, Inc.	N/A	0.0730%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
13 Paul D. Allison Toronto, ON, Canada	N/A	N/A	Director, Chairman, President and CEO - Raymond James Ltd., Director and President - Raymond James Financial Management, Ltd., Director and Secretary - Raymond James Financial Planning Ltd., Director - Raymond James Financial International Limited, Director - Cougar Global Investments Limited, Director and Chairman - Raymond James Investment Services Limited	N/A	0.0712%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A

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14 John C. Carson, Jr. Memphis, TN, USA	N/A	President	Director and President- Morgan Keegan & Company, LLC; Director and President - MK Holding, Inc., Director - RJ Securities, Inc., Director - Merchant Bankers, inc., Director - Raymond James Financial International Limited	N/A	0.0664%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
15 Scott A. Curtis Tampa, FL USA	N/A	N/A	Director and President - Raymond James Financial Services, Inc., Director and President - Raymond James Financial Services Advisors, Inc., Director - Raymond James Insurance Group, Inc., Director - TPC Acquisition Co., Director - Raymond James Ltd.	N/A	0.0697%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
16 Jeffrey A. Dowdle St. Petersburg, FL, USA	N/A	Executive Vice President, Asset Management Group	N/A	N/A	0.0836%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
17 Tashtego S. Elwyn St. Petersburg, FL USA	N/A	N/A	Director and President - Private Client Group, Raymond James & Associates, Inc., Director - Raymond James Trust, NA	N/A	0.0437%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A

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18 Jeffrey P. Julien St. Petersburg, FL, USA	N/A	EVP - Finance, Chief Financial Officer and Treasurer	Finance Director - Heritage International, Ltd, Director - Raymond James & Associates, Inc., Director - Raymond James Bank, NA, Director, Secretary and Treasurer - Raymond James European Holdings, Inc., Manager - Raymond James Investments, LLC, Director - Raymond James Ltd., Director and Chairman - Raymond James Trust, NA, Director - RJ Government Securities, Inc., Director and Treasurer - RJ Partners, Inc., Director and President - RJ Securities, Inc., Director and Treasurer - RJEIF, Inc., Director and President - Former WT, Inc., Director - MK Holding, Inc., Secretary and Treasurer - MK Investment Management, Inc., Director - Morgan Keegan & Company, LLC, Treasurer - Morgan Keegan Fund Management, Inc., Governor and Treasurer - Morgan Properties, LLC, Director and President - Morgan Keegan & Associates, LLC	N/A	0.1213%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
19 Steven M. Raney St. Petersburg, FL, USA	N/A	N/A	Director, President and CEO - Raymond James Bank, NA, Director - Raymond James Finance Company of Canada Ltd., Director - Raymond James Trust, NA, Director - Raymond James Canadian Acquisition, Inc., Manager - Raymond James Canadian Holdings, LLC, Director - Raymond James Capital Funding, Inc.	N/A	0.0517%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A

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20 Jeffrey E. Trocin St. Petersburg, FL, USA	N/A	N/A	President - Global Equities and Investment Banking - Raymond James & Associates, Inc., Director and President - Howe Barnes Hoefer & Arnett, Inc., Director - Raymond James European Equities, Director - Raymond James Financial International Limited, Manager - Raymond James Investments, LLC, Director - Raymond James Ltd., Director and President - Raymond James Research Services, LLC, Regular Trustee - RJF Capital Trust I, Regular Trustee - RJF Capital Trust II, Regular Trustee - RJF Capital Trust III	N/A	0.1684%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
21 Dennis W. Zank St. Petersburg, FL, USA	N/A	Chief Operating Officer	Director and CEO - Raymond James & Associates, Inc., Director and EVP - Howe Barnes Hoefer & Arnett, Inc., Director - Raymond James Insurance Group, Inc., Director and EVP - RJ Securities, Inc., Director - Morgan Keegan & Company, LLC, Governor - Morgan Properties, LLC, Director - PCA Insurance Agency, Inc. (Puerto Rico), Director - Planning Corporation of America (Nevada), Director - Planning Corporation of America (New York), Director - TPC Acquisition Co.	N/A	0.1927%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A

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22 Jennifer C. Ackart St. Petersburg, FL, USA	N/A	SVP and Controller	Treasurer - Raymond James International Holdings, Inc., VP - Raymond James Investments, LLC, Director and Treasurer - Raymond James South American Holdings, Inc., Director - RJ Government Securities, Inc., SVP - RJ Securities, Inc., Treasurer - Raymond James Mortgage Company, Inc., Treasurer - Strategic Investment Management Services, Inc., Director - Morgan Keegan & Associates, LLC, Director and Treasurer - Raymond James International Canada, Inc.	N/A	0.0331%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
23 George Catanese St. Petersburg, FL, USA	N/A	SVP and Chief Risk Officer	N/A	N/A	0.0302%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A
24 Paul L. Matecki St. Petersburg, FL, USA	N/A	SVP, General Counsel and Secretary	General Counsel and Secretary - Raymond James & Associates, Inc., Secretary - Raymond James Bank, NA, Secretary - Howe Barnes Hoefer & Arnett, Inc., Secretary - Raymond James Finance Company of Canada Ltd., Assistant Secretary - Raymond James Global Securities, Limited, Secretary - Raymond James Investments, LLC, Secretary - Raymond James Research Services, LLC, Secretary - RJ Government Securities, Inc.,	N/A	0.0369%	CONFIDENTIAL TREATMENT REQUESTED. Please see the response to this Column 4(b) in the Report Item 4: Insiders marked "Confidential"	N/A

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			General Counsel and Secretary - Morgan Keegan & Company, LLC, Secretary - Morgan Properties, LLC, Secretary - RJ Partners, Inc., Secretary - RJ Securities, Inc., Secretary - RJEIF, Inc., Secretary - Raymond James Canadian Acquisition, Inc., Secretary - Raymond James Management, LLC, Secretary - Raymond James Management-EPG, LLC, Secretary - Raymond James Management-Forensics, LLC, Secretary - Raymond James Capital Funding, Inc., Secretary - Raymond James Canadian Holdings, LLC, Secretary - Merchant Bankers, Inc., Secretary - Morgan Keegan & Associates, LLC				